

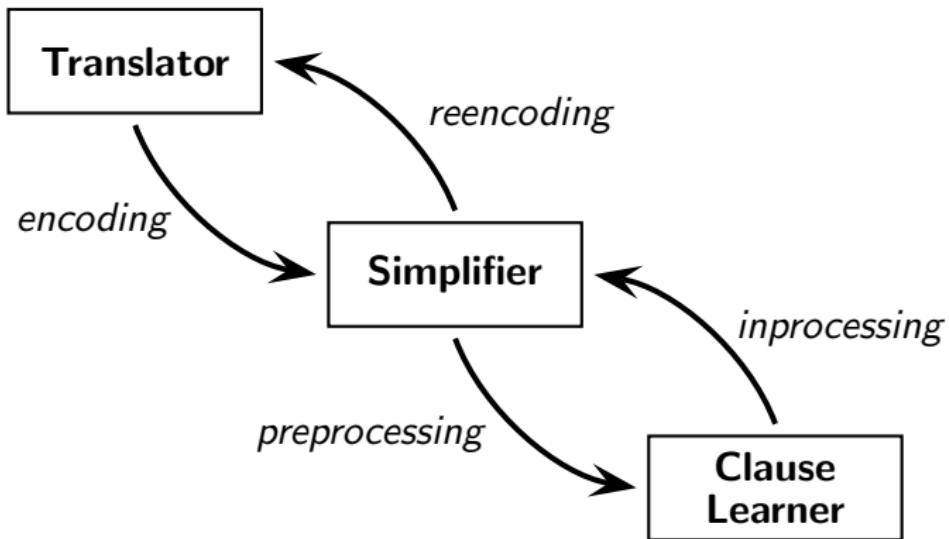
# Preprocessing

**Marijn J.H. Heule**

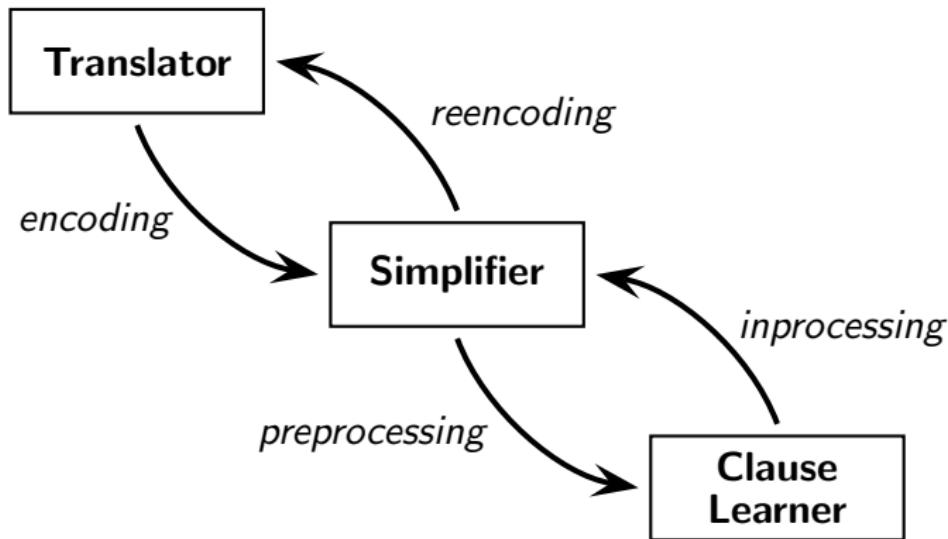
The University of Texas at Austin

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# Interaction between different solving approaches



# Interaction between different solving approaches



It all comes down to adding and removing redundant clauses

## Redundant clauses

A clause is redundant w.r.t. a formula if adding it to the formula preserves satisfiability.

- For unsatisfiable formulas, all clauses can be added, including the empty clause ( ).

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- For satisfiable formulas, all clauses can be removed.

Challenge regarding redundant clauses:

- How to check redundancy in polynomial time?
- Ideally find redundant clauses in linear time

- 1 Subsumption
- 2 Variable Elimination
- 3 Bounded Variable Addition
- 4 Blocked Clause Elimination
- 5 Hyper Binary Resolution
- 6 Unhiding Redundancy



# Tautologies and Subsumption

## Definition (Tautology)

A clause  $C$  is a tautology if it contains two complementary literals  $I, \bar{I}$ .

## Example

The clause  $(a \vee b \vee \bar{b})$  is a tautology.

## Definition (Subsumption)

Clause  $C$  subsumes clause  $D$  if and only if  $C \subset D$ .

## Example

The clause  $(a \vee b)$  subsumes clause  $(a \vee b \vee \bar{c})$ .

# Self-Subsuming Resolution

## Self-Subsuming Resolution

$$\frac{C \vee I \quad D \vee \bar{I}}{D} \quad C \subseteq D$$

$$\frac{(a \vee b \vee I) \quad (a \vee b \vee c \vee \bar{I})}{(a \vee b \vee c)}$$

resolvent  $D$  subsumes second antecedent  $D \vee \bar{I}$

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## Example

assume a CNF contains both antecedents

$$\dots (a \vee b \vee I)(a \vee b \vee c \vee \bar{I}) \dots$$

if  $D$  is added then  $D \vee \bar{I}$  can be removed



which in essence **removes**  $\bar{I}$  from  $D \vee \bar{I} \dots (a \vee b \vee I)(a \vee b \vee c \dots)$

initially in the SATeLite preprocessor

[EenBiere'07]

now common in many SAT solvers

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## Example: Remove literals using self-subsumption

$$\begin{aligned} & (a \vee b \vee c) \wedge (\bar{a} \vee b \vee c) \wedge \\ & (\bar{a} \vee b \vee \bar{c}) \wedge (a \vee \bar{b} \vee c) \wedge \\ & (\bar{a} \vee \bar{b} \vee d) \wedge (\bar{a} \vee \bar{b} \vee \bar{d}) \wedge \\ & (a \vee \bar{c} \vee d) \wedge (a \vee \bar{c} \vee \bar{d}) \end{aligned}$$

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# Implementing Subsumption

## Definition (Subsumption)

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The clause  $(a \vee b)$  subsumes clause  $(a \vee b \vee \bar{c})$ .

## Forward Subsumption

**for** each clause  $C$  in formula  $F$  **do**

**if**  $C$  is subsumed by a clause  $D$  in  $F \setminus C$  **then**

        remove  $C$  from  $F$

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**remove  $C$  from  $F$**

## Backward Subsumption

**for each clause  $C$  in formula  $F$  do**

**remove all clauses  $D$  in  $F$  that are subsumed by  $C$**

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## Backward Subsumption

**for** each clause  $C$  in formula  $F$  **do**  
    pick a literal  $l$  in  $C$   
    remove all clauses  $D$  in  $F_l$  that are subsumed by  $C$



# Variable Elimination [DavisPutnam'60]

## Definition (Resolution)

Given two clauses  $C = (\textcolor{orange}{x} \vee a_1 \vee \dots \vee a_i)$  and  $D = (\bar{x} \vee b_1 \vee \dots \vee b_j)$ , the *resolvent* of  $C$  and  $D$  on variable  $\textcolor{orange}{x}$  (denoted by  $C \otimes_x D$ ) is  $(a_1 \vee \dots \vee a_i \vee b_1 \vee \dots \vee b_j)$

Resolution on sets of clauses  $F_x$  and  $F_{\bar{x}}$  (denoted by  $F_x \otimes_x F_{\bar{x}}$ ) generates all (non-tautological) resolvents of  $C \in F_x$  and  $D \in F_{\bar{x}}$ .

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## Proof procedure [DavisPutnam60]

VE is a complete proof procedure. Applying VE until fixpoint results in the empty formula (satisfiable) or empty clause (unsatisfiable)

# Example VE by clause distribution [DavisPutnam'60]

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## Example of clause distribution

		$F_x$		
		$(x \vee c)$	$(x \vee \bar{d})$	$(x \vee \bar{a} \vee \bar{b})$
$F_{\bar{x}}$	$(\bar{x} \vee a)$	$(a \vee c)$	$(a \vee \bar{d})$	$(a \vee \bar{a} \vee \bar{b})$
	$(\bar{x} \vee b)$	$(b \vee c)$	$(b \vee \bar{d})$	$(b \vee \bar{a} \vee \bar{b})$
	$(\bar{x} \vee \bar{e} \vee f)$	$(c \vee \bar{e} \vee f)$	$(d \vee \bar{e} \vee f)$	$(\bar{a} \vee \bar{b} \vee \bar{e} \vee f)$

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example:  $|F_x \otimes F_{\bar{x}}| > |F_x| + |F_{\bar{x}}|$ ; in general: exponential growth of clauses

# VE by substitution [EenBiere07]

## General idea

Detect gates (or definitions)  $x = \text{GATE}(a_1, \dots, a_n)$  in the formula and use them to reduce the number of added clauses

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## Possible gates

gate	$G_x$	$G_{\bar{x}}$
$\text{AND}(a_1, \dots, a_n)$	$(x \vee \bar{a}_1 \vee \dots \vee \bar{a}_n)$	$(\bar{x} \vee a_1), \dots, (\bar{x} \vee a_n)$
$\text{OR}(a_1, \dots, a_n)$	$(x \vee \bar{a}_1), \dots, (x \vee \bar{a}_n)$	$(\bar{x} \vee a_1 \vee \dots \vee a_n)$
$\text{ITE}(c, t, f)$	$(x \vee \bar{c} \vee \bar{t}), (x \vee c \vee \bar{f})$	$(\bar{x} \vee \bar{c} \vee t), (\bar{x} \vee c \vee f)$

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## Variable elimination by substitution [EenBiere07]

Let  $R_x = F_x \setminus G_x$ ;  $R_{\bar{x}} = F_{\bar{x}} \setminus G_{\bar{x}}$ .

Replace  $F_x \wedge F_{\bar{x}}$  by  $G_x \otimes_x R_{\bar{x}} \wedge G_{\bar{x}} \otimes_x R_x$ . Always less than  $F_x \otimes_x F_{\bar{x}}$ !

## VE by substitution [EenBiere'07]

Example of gate extraction:  $x = \text{AND}(a, b)$

$$\begin{aligned}F_x &= (x \vee c) \wedge (x \vee \bar{d}) \wedge (x \vee \bar{a} \vee \bar{b}) \\F_{\bar{x}} &= (\bar{x} \vee a) \wedge (\bar{x} \vee b) \wedge (\bar{x} \vee \bar{e} \vee f)\end{aligned}$$

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Example of substitution

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$G_{\bar{x}}$	$(\bar{x} \vee a)$	$(a \vee c)$	$(a \vee d)$	
	$(\bar{x} \vee b)$	$(b \vee c)$	$(b \vee d)$	
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$R_{\bar{x}}$	$(\bar{x} \vee \bar{e} \vee f)$			$(\bar{a} \vee \bar{b} \vee \bar{e} \vee f)$

using substitution:  $|F_x \otimes F_{\bar{x}}| < |F_x| + |F_{\bar{x}}|$



# Bounded Variable Addition

## Main Idea

Given a CNF formula  $F$ , can we construct a logically equivalent  $F'$  by introducing a new variable  $x \notin \text{VAR}(F)$  such that  $|F'| < |F|$ ?

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## Reverse of Variable Elimination

For example, replace the clauses

$$\begin{array}{lll} (a \vee c) & (a \vee d) \\ (b \vee c) & (b \vee d) \\ (c \vee \bar{e} \vee f) & (d \vee \bar{e} \vee f) & (\bar{a} \vee \bar{b} \vee \bar{e} \vee f) \end{array}$$

by

$$\begin{array}{lll} (\bar{x} \vee a) & (\bar{x} \vee b) & (\bar{x} \vee \bar{e} \vee f) \\ (x \vee c) & (x \vee d) & (x \vee \bar{a} \vee \bar{b}) \end{array}$$

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Challenge: how to find suitable patterns for replacement?

# Factoring Out Subclauses

## Example

Replace

$$(a \vee b \vee c \vee d) \quad (a \vee b \vee c \vee e) \quad (a \vee b \vee c \vee f)$$

by

$$(x \vee d) \quad (x \vee e) \quad (x \vee f) \quad (\bar{x} \vee a \vee b \vee c)$$

adds 1 variable and one clause

reduces number of literals by 2

Not compatible with BVE, which would eliminate  $x$  immediately!

... so this does not work ...

# Bounded Variable Addition

## Smallest Example

Replace

$$\begin{array}{ll} (a \vee d) & (a \vee e) \\ (b \vee d) & (b \vee e) \\ (c \vee d) & (c \vee e) \end{array}$$

by

$$\begin{array}{lll} (\bar{x} \vee a) & (\bar{x} \vee b) & (\bar{x} \vee c) \\ (x \vee d) & (x \vee e) & \end{array}$$

*adds 1 variable*

*removes 1 clause*

# Bounded Variable Addition

## Possible Patterns

$$\begin{array}{c} (\textcolor{brown}{X}_1 \vee \textcolor{blue}{L}_1) \quad \dots \quad (\textcolor{brown}{X}_1 \vee \textcolor{blue}{L}_k) \\ \vdots \\ (\textcolor{brown}{X}_n \vee \textcolor{blue}{L}_1) \quad \dots \quad (\textcolor{brown}{X}_n \vee \textcolor{blue}{L}_k) \end{array} \equiv \bigwedge_{i=1}^n \bigwedge_{j=1}^k (\textcolor{brown}{X}_i \vee \textcolor{blue}{L}_j)$$

replaced by  $\bigwedge_{i=1}^n (y \vee \textcolor{brown}{X}_i) \wedge \bigwedge_{j=1}^k (\bar{y} \vee \textcolor{blue}{L}_j)$

- Every  $k$  clauses share sets of literals  $L_j$
- There are  $n$  sets of literals  $X_i$  that appear in clauses with  $L_j$

# Bounded Variable Addition

## Possible Patterns

$$\begin{array}{c} (\textcolor{brown}{X}_1 \vee \textcolor{blue}{L}_1) \quad \dots \quad (\textcolor{brown}{X}_1 \vee \textcolor{blue}{L}_k) \\ \vdots \\ (\textcolor{brown}{X}_n \vee \textcolor{blue}{L}_1) \quad \dots \quad (\textcolor{brown}{X}_n \vee \textcolor{blue}{L}_k) \end{array} \equiv \bigwedge_{i=1}^n \bigwedge_{j=1}^k (\textcolor{brown}{X}_i \vee \textcolor{blue}{L}_j)$$

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# Bounded Variable Addition on AtMostOneZero (1)

Example encoding of AtMostOneZero ( $x_1, x_2, \dots, x_n$ )

$$\begin{aligned} & (x_1 \vee x_2) \wedge (x_9 \vee x_{10}) \wedge (x_8 \vee x_{10}) \wedge (x_7 \vee x_{10}) \wedge (x_6 \vee x_{10}) \wedge \\ & (x_1 \vee x_3) \wedge (x_2 \vee x_3) \wedge (x_8 \vee x_9) \wedge (x_7 \vee x_9) \wedge (x_6 \vee x_9) \wedge \\ & (x_1 \vee x_4) \wedge (x_2 \vee x_4) \wedge (x_3 \vee x_4) \wedge (x_7 \vee x_8) \wedge (x_6 \vee x_8) \wedge \\ & (x_1 \vee x_5) \wedge (x_2 \vee x_5) \wedge (x_3 \vee x_5) \wedge (x_4 \vee x_5) \wedge (x_6 \vee x_7) \wedge \\ & (x_1 \vee x_6) \wedge (x_2 \vee x_6) \wedge (x_3 \vee x_6) \wedge (x_4 \vee x_6) \wedge (x_5 \vee x_6) \wedge \\ & (x_1 \vee x_7) \wedge (x_2 \vee x_7) \wedge (x_3 \vee x_7) \wedge (x_4 \vee x_7) \wedge (x_5 \vee x_7) \wedge \\ & (x_1 \vee x_8) \wedge (x_2 \vee x_8) \wedge (x_3 \vee x_8) \wedge (x_4 \vee x_8) \wedge (x_5 \vee x_8) \wedge \\ & (x_1 \vee x_9) \wedge (x_2 \vee x_9) \wedge (x_3 \vee x_9) \wedge (x_4 \vee x_9) \wedge (x_5 \vee x_9) \wedge \\ & (x_1 \vee x_{10}) \wedge (x_2 \vee x_{10}) \wedge (x_3 \vee x_{10}) \wedge (x_4 \vee x_{10}) \wedge (x_5 \vee x_{10}) \end{aligned}$$

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Example encoding of AtMostOneZero ( $x_1, x_2, \dots, x_n$ )

$$\begin{aligned}
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 & (x_1 \vee x_3) \wedge (x_2 \vee x_3) \wedge (x_8 \vee x_9) \wedge (x_7 \vee x_9) \wedge (x_6 \vee x_9) \wedge \\
 & (x_1 \vee x_4) \wedge (x_2 \vee x_4) \wedge (x_3 \vee x_4) \wedge (x_7 \vee x_8) \wedge (x_6 \vee x_8) \wedge \\
 & (x_1 \vee x_5) \wedge (x_2 \vee x_5) \wedge (x_3 \vee x_5) \wedge (x_4 \vee x_5) \wedge (x_6 \vee x_7) \wedge \\
 & (\textcolor{brown}{x_1} \vee \textcolor{blue}{x_6}) \wedge (\textcolor{brown}{x_2} \vee \textcolor{blue}{x_6}) \wedge (\textcolor{brown}{x_3} \vee \textcolor{blue}{x_6}) \wedge (\textcolor{brown}{x_4} \vee \textcolor{blue}{x_6}) \wedge (\textcolor{brown}{x_5} \vee \textcolor{blue}{x_6}) \wedge \\
 & (\textcolor{brown}{x_1} \vee \textcolor{blue}{x_7}) \wedge (\textcolor{brown}{x_2} \vee \textcolor{blue}{x_7}) \wedge (\textcolor{brown}{x_3} \vee \textcolor{blue}{x_7}) \wedge (\textcolor{brown}{x_4} \vee \textcolor{blue}{x_7}) \wedge (\textcolor{brown}{x_5} \vee \textcolor{blue}{x_7}) \wedge \\
 & (\textcolor{brown}{x_1} \vee \textcolor{blue}{x_8}) \wedge (\textcolor{brown}{x_2} \vee \textcolor{blue}{x_8}) \wedge (\textcolor{brown}{x_3} \vee \textcolor{blue}{x_8}) \wedge (\textcolor{brown}{x_4} \vee \textcolor{blue}{x_8}) \wedge (\textcolor{brown}{x_5} \vee \textcolor{blue}{x_8}) \wedge \\
 & (\textcolor{brown}{x_1} \vee \textcolor{blue}{x_9}) \wedge (\textcolor{brown}{x_2} \vee \textcolor{blue}{x_9}) \wedge (\textcolor{brown}{x_3} \vee \textcolor{blue}{x_9}) \wedge (\textcolor{brown}{x_4} \vee \textcolor{blue}{x_9}) \wedge (\textcolor{brown}{x_5} \vee \textcolor{blue}{x_9}) \wedge \\
 & (\textcolor{brown}{x_1} \vee \textcolor{blue}{x_{10}}) \wedge (\textcolor{brown}{x_2} \vee \textcolor{blue}{x_{10}}) \wedge (\textcolor{brown}{x_3} \vee \textcolor{blue}{x_{10}}) \wedge (\textcolor{brown}{x_4} \vee \textcolor{blue}{x_{10}}) \wedge (\textcolor{brown}{x_5} \vee \textcolor{blue}{x_{10}})
 \end{aligned}$$

Replace  $(\textcolor{brown}{x}_i \vee \textcolor{blue}{x}_j)$  with  $i \in \{1..5\}, j \in \{6..10\}$  by  $(\textcolor{brown}{x}_i \vee y), (\textcolor{blue}{x}_j \vee \bar{y})$

# Bounded Variable Addition on AtMostOneZero (2)

Example encoding of AtMostOneZero ( $x_1, x_2, \dots, x_n$ )

$$\begin{aligned} & (x_1 \vee x_2) \wedge (x_9 \vee x_{10}) \wedge (x_8 \vee x_{10}) \wedge (x_7 \vee x_{10}) \wedge (x_6 \vee x_{10}) \wedge \\ & (x_1 \vee x_3) \wedge (x_2 \vee x_3) \wedge (x_8 \vee x_9) \wedge (x_7 \vee x_9) \wedge (x_6 \vee x_9) \wedge \\ & (x_1 \vee x_4) \wedge (x_2 \vee x_4) \wedge (x_3 \vee x_4) \wedge (x_7 \vee x_8) \wedge (x_6 \vee x_8) \wedge \\ & (x_1 \vee x_5) \wedge (x_2 \vee x_5) \wedge (x_3 \vee x_5) \wedge (x_4 \vee x_5) \wedge (x_6 \vee x_7) \wedge \\ & (x_1 \vee y) \wedge (x_2 \vee y) \wedge (x_3 \vee y) \wedge (x_4 \vee y) \wedge (x_5 \vee y) \wedge \\ & (x_6 \vee \bar{y}) \wedge (x_7 \vee \bar{y}) \wedge (x_8 \vee \bar{y}) \wedge (x_9 \vee \bar{y}) \wedge (x_{10} \vee \bar{y}) \end{aligned}$$

# Bounded Variable Addition on AtMostOneZero (2)

Example encoding of AtMostOneZero  $(x_1, x_2, \dots, x_n)$

$$\begin{aligned}
 & (x_1 \vee x_2) \wedge (x_9 \vee x_{10}) \wedge (x_8 \vee x_{10}) \wedge (x_7 \vee x_{10}) \wedge (x_6 \vee x_{10}) \wedge \\
 & (x_1 \vee x_3) \wedge (x_2 \vee x_3) \wedge (x_8 \vee x_9) \wedge (x_7 \vee x_9) \wedge (x_6 \vee x_9) \wedge \\
 & (\textcolor{brown}{x}_1 \vee \textcolor{blue}{x}_4) \wedge (\textcolor{brown}{x}_2 \vee \textcolor{blue}{x}_4) \wedge (\textcolor{brown}{x}_3 \vee \textcolor{blue}{x}_4) \wedge (x_7 \vee x_8) \wedge (x_6 \vee x_8) \wedge \\
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 & (\textcolor{brown}{x}_1 \vee y) \wedge (\textcolor{brown}{x}_2 \vee y) \wedge (\textcolor{brown}{x}_3 \vee y) \wedge (x_4 \vee y) \wedge (x_5 \vee y) \wedge \\
 & (x_6 \vee \bar{y}) \wedge (x_7 \vee \bar{y}) \wedge (x_8 \vee \bar{y}) \wedge (x_9 \vee \bar{y}) \wedge (x_{10} \vee \bar{y})
 \end{aligned}$$

Replace matched pattern

$$\begin{aligned}
 & (\textcolor{brown}{x}_1 \vee z) \wedge (\textcolor{brown}{x}_2 \vee z) \wedge (\textcolor{brown}{x}_3 \vee z) \wedge \\
 & (\textcolor{blue}{x}_4 \vee \bar{z}) \wedge (\textcolor{blue}{x}_5 \vee \bar{z}) \wedge (y \vee \bar{z})
 \end{aligned}$$

## Bounded Variable Addition on AtMostOneZero (3)

Example encoding of AtMostOneZero ( $x_1, x_2, \dots, x_n$ )

$$\begin{aligned} & (x_1 \vee x_2) \wedge (x_9 \vee x_{10}) \wedge (x_8 \vee x_{10}) \wedge (x_7 \vee x_{10}) \wedge (x_6 \vee x_{10}) \wedge \\ & (x_1 \vee x_3) \wedge (x_2 \vee x_3) \wedge (x_8 \vee x_9) \wedge (x_7 \vee x_9) \wedge (x_6 \vee x_9) \wedge \\ & (x_1 \vee z) \wedge (x_2 \vee z) \wedge (x_3 \vee z) \wedge (x_7 \vee x_8) \wedge (x_6 \vee x_8) \wedge \\ & (x_4 \vee \bar{z}) \wedge (x_5 \vee \bar{z}) \wedge (y \vee \bar{z}) \wedge (x_4 \vee x_5) \wedge (x_6 \vee x_7) \wedge \\ & (x_4 \vee y) \wedge (x_5 \vee y) \wedge (x_6 \vee \bar{y}) \wedge (x_7 \vee \bar{y}) \wedge (x_8 \vee \bar{y}) \\ & (x_9 \vee \bar{y}) \wedge (x_{10} \vee \bar{y}) \end{aligned}$$

## Bounded Variable Addition on AtMostOneZero (3)

Example encoding of AtMostOneZero ( $x_1, x_2, \dots, x_n$ )

$$\begin{aligned}
 & (x_1 \vee x_2) \wedge (x_9 \vee x_{10}) \wedge (\textcolor{brown}{x}_8 \vee \textcolor{cyan}{x}_{10}) \wedge (\textcolor{brown}{x}_7 \vee \textcolor{cyan}{x}_{10}) \wedge (\textcolor{brown}{x}_6 \vee \textcolor{cyan}{x}_{10}) \wedge \\
 & (x_1 \vee x_3) \wedge (x_2 \vee x_3) \wedge (\textcolor{brown}{x}_8 \vee \textcolor{cyan}{x}_9) \wedge (\textcolor{brown}{x}_7 \vee \textcolor{cyan}{x}_9) \wedge (\textcolor{brown}{x}_6 \vee \textcolor{cyan}{x}_9) \wedge \\
 & (x_1 \vee z) \wedge (x_2 \vee z) \wedge (x_3 \vee z) \wedge (x_7 \vee x_8) \wedge (x_6 \vee x_8) \wedge \\
 & (x_4 \vee \bar{z}) \wedge (x_5 \vee \bar{z}) \wedge (y \vee \bar{z}) \wedge (x_4 \vee x_5) \wedge (x_6 \vee x_7) \wedge \\
 & (x_4 \vee y) \wedge (x_5 \vee y) \wedge (\textcolor{brown}{x}_6 \vee \bar{y}) \wedge (\textcolor{brown}{x}_7 \vee \bar{y}) \wedge (\textcolor{brown}{x}_8 \vee \bar{y}) \\
 & (x_9 \vee \bar{y}) \wedge (x_{10} \vee \bar{y})
 \end{aligned}$$

Replace matched pattern

$$\begin{aligned}
 & (\textcolor{brown}{x}_6 \vee w) \wedge (\textcolor{brown}{x}_7 \vee w) \wedge (\textcolor{brown}{x}_8 \vee w) \wedge \\
 & (\textcolor{cyan}{x}_9 \vee \bar{w}) \wedge (\textcolor{cyan}{x}_{10} \vee \bar{w}) \wedge (\bar{y} \vee \bar{w})
 \end{aligned}$$



# Blocked Clauses [Kullmann'99]

## Definition (Blocking literal)

A literal  $l$  in a clause  $C$  of a CNF  $F$  blocks  $C$  w.r.t.  $F$  if for every clause  $D \in F$  with  $\bar{l} \in D$ , the resolvent  $(C \setminus \{l\}) \cup (D \setminus \{\bar{l}\})$  obtained from resolving  $C$  and  $D$  on  $l$  is a tautology.

With respect to a fixed CNF and its clauses we have:

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## Example

Consider the formula  $(a \vee b) \wedge (\textcolor{orange}{a} \vee \bar{b} \vee \bar{c}) \wedge (\bar{a} \vee c)$ .

First clause is not blocked.

Second clause is blocked by both  $a$  and  $\bar{c}$ . Third clause is blocked by  $c$

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## Proposition

Removal of an arbitrary blocked clause preserves satisfiability.

# Blocked Clause Elimination (BCE)

## Definition (BCE)

While there is a blocked clause  $C$  in a CNF  $F$ , remove  $C$  from  $F$ .

## Example

Consider  $(a \vee b) \wedge (a \vee \bar{b} \vee \bar{c}) \wedge (\bar{a} \vee c)$ .

After removing either  $(a \vee \bar{b} \vee \bar{c})$  or  $(\bar{a} \vee c)$ ,

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## Proposition

BCE is confluent, i.e., has a unique fixpoint

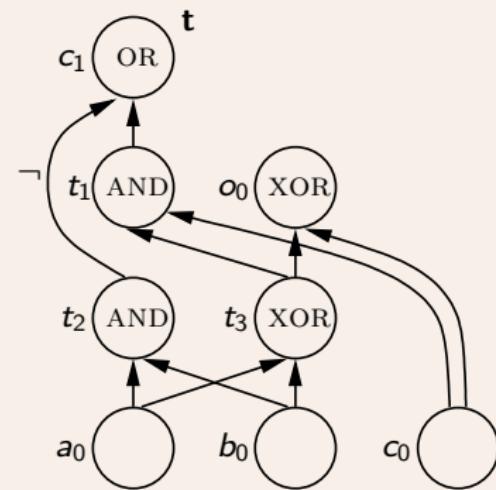
- Blocked clauses stay blocked w.r.t. removal

## BCE very effective on circuits [JärvisaloBiereHeule'10]

BCE converts the Tseitin encoding to Plaisted Greenbaum

BCE simulates Pure literal elimination, Cone of influence and much more

### Example of circuit simplification by BCE on Tseitin encoding



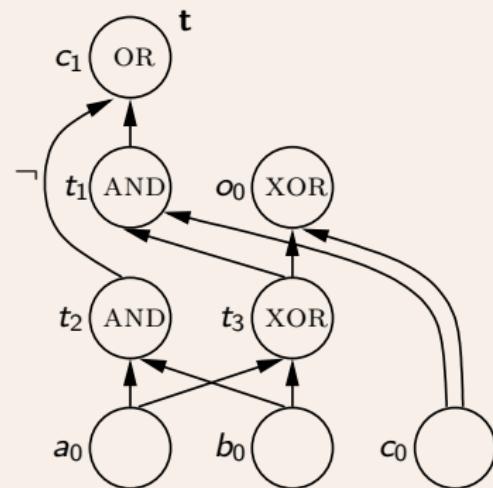
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$(\bar{c}_1 \vee t_1 \vee \bar{t}_2)$	$(\bar{t}_1 \vee t_3)$
$(c_1 \vee \bar{t}_1)$	$(\bar{t}_1 \vee c_0)$
$(c_1 \vee t_2)$	$(t_2 \vee \bar{a}_0 \vee \bar{b}_0)$
$(\bar{a}_0 \vee t_3 \vee c_0)$	$(\bar{t}_2 \vee a_0)$
$(\bar{a}_0 \vee \bar{t}_3 \vee \bar{c}_0)$	$(\bar{t}_2 \vee b_0)$
$(o_0 \vee t_3 \vee \bar{c}_0)$	$(\bar{t}_3 \vee a_0 \vee b_0)$
$(o_0 \vee \bar{t}_3 \vee c_0)$	$(\bar{t}_3 \vee \bar{a}_0 \vee \bar{b}_0)$
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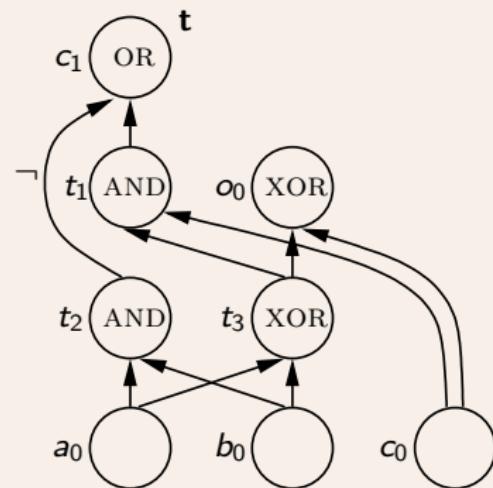
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$(\cancel{c}_1 \vee t_2)$	$(t_2 \vee \bar{a}_0 \vee \bar{b}_0)$
$(\bar{a}_0 \vee t_3 \vee c_0)$	$(\bar{t}_2 \vee a_0)$
$(\bar{a}_0 \vee \bar{t}_3 \vee \bar{c}_0)$	$(\bar{t}_2 \vee b_0)$
$(o_0 \vee t_3 \vee \bar{c}_0)$	$(\bar{t}_3 \vee a_0 \vee b_0)$
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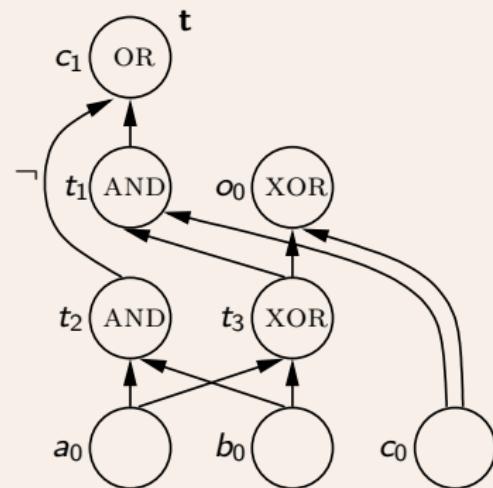
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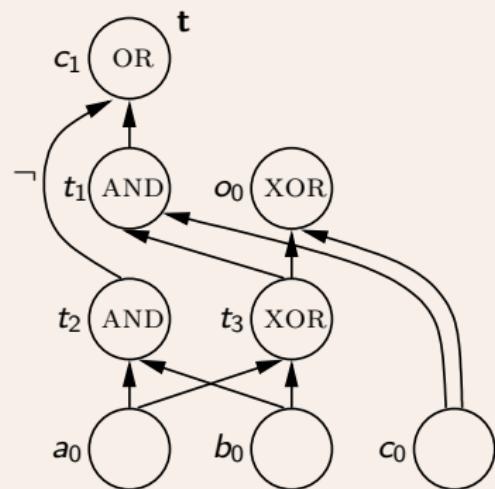
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	$(t_3 \vee a_0 \vee \bar{b}_0)$
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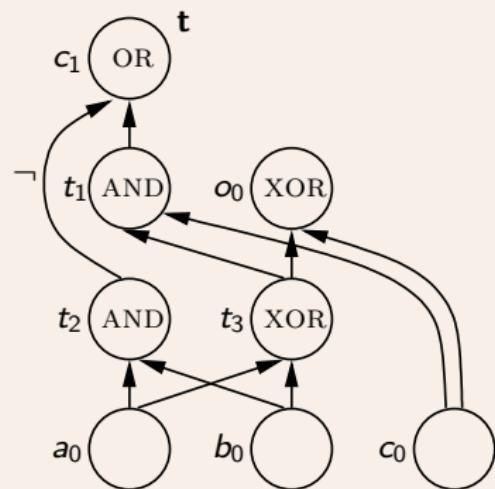
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$(\bar{a}_0 \vee \bar{t}_3 \vee \bar{c}_0)$	$(\bar{t}_2 \vee b_0)$
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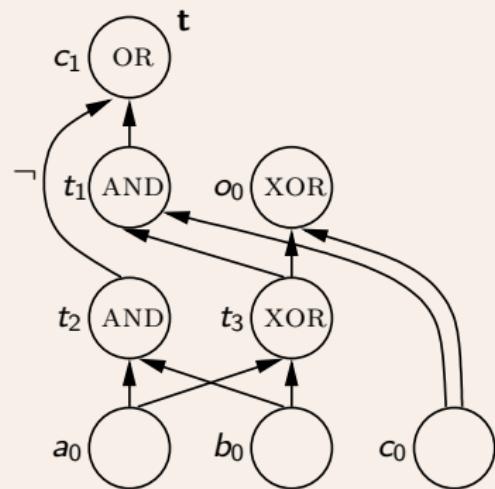
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BCE converts the Tseitin encoding to Plaisted Greenbaum

BCE simulates Pure literal elimination, Cone of influence and much more

## Example of circuit simplification by BCE on Tseitin encoding

$(c_1)$	$(t_1 \vee \bar{t}_3 \vee \bar{c}_0)$
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$(c_1 \vee \bar{t}_1)$	$(\bar{t}_1 \vee c_0)$
$(c_1 \vee t_2)$	$(t_2 \vee \bar{a}_0 \vee \bar{b}_0)$
$(\bar{a}_0 \vee t_3 \vee c_0)$	$(\bar{t}_2 \vee a_0)$
$(\bar{a}_0 \vee \bar{t}_3 \vee \bar{c}_0)$	$(\bar{t}_2 \vee b_0)$
$(o_0 \vee t_3 \vee \bar{c}_0)$	$(\bar{t}_3 \vee a_0 \vee b_0)$
$(o_0 \vee \bar{t}_3 \vee c_0)$	$(\bar{t}_3 \vee \bar{a}_0 \vee \bar{b}_0)$
	$(t_3 \vee a_0 \vee \bar{b}_0)$
	$(t_3 \vee \bar{a}_0 \vee b_0)$



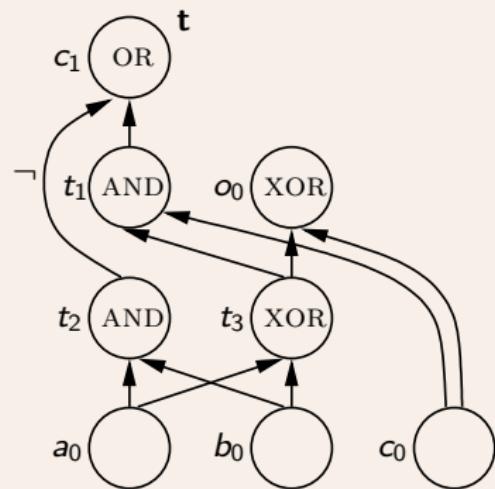
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$(\bar{o}_0 \vee t_3 \vee c_0)$	$(\bar{t}_2 \vee a_0)$
$(\bar{o}_0 \vee \bar{t}_3 \vee \bar{c}_0)$	$(\bar{t}_2 \vee b_0)$
$(o_0 \vee t_3 \vee \bar{c}_0)$	$(\bar{t}_3 \vee a_0 \vee b_0)$
$(o_0 \vee \bar{t}_3 \vee c_0)$	$(\bar{t}_3 \vee \bar{a}_0 \vee \bar{b}_0)$
	$(t_3 \vee a_0 \vee \bar{b}_0)$
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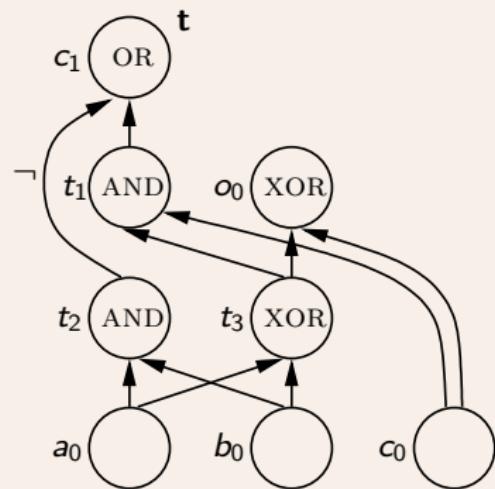
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 (\bar{c}_1 \vee \bar{t}_1) \\
 (\bar{c}_1 \vee t_2) \\
 (\bar{a}_0 \vee t_3 \vee c_0) \\
 (\bar{a}_0 \vee \bar{t}_3 \vee \bar{c}_0) \\
 (o_0 \vee t_3 \vee \bar{c}_0) \\
 (o_0 \vee \bar{t}_3 \vee c_0)
 \end{aligned}$$

$$\begin{aligned}
 (t_1 \vee \bar{t}_3 \vee \bar{c}_0) \\
 (\bar{t}_1 \vee t_3) \\
 (\bar{t}_1 \vee c_0) \\
 (t_2 \vee \bar{a}_0 \vee \bar{b}_0) \\
 (\bar{t}_2 \vee a_0) \\
 (\bar{t}_2 \vee b_0) \\
 (\bar{t}_3 \vee a_0 \vee b_0) \\
 (\bar{t}_3 \vee \bar{a}_0 \vee \bar{b}_0) \\
 (t_3 \vee a_0 \vee \bar{b}_0) \\
 (t_3 \vee \bar{a}_0 \vee b_0)
 \end{aligned}$$



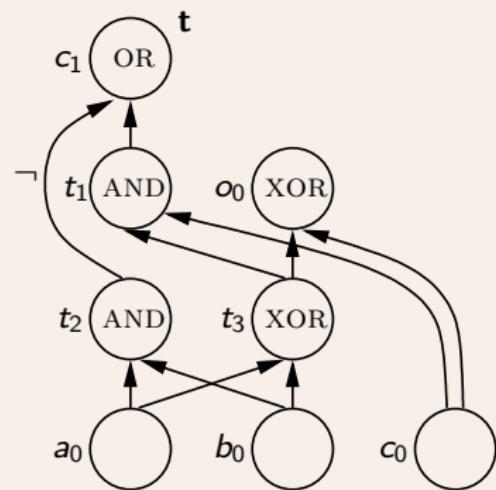
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$(o_0 \vee t_3 \vee \bar{c}_0)$	$(\bar{t}_3 \vee a_0 \vee b_0)$
$(o_0 \vee \bar{t}_3 \vee c_0)$	$(\bar{t}_3 \vee \bar{a}_0 \vee \bar{b}_0)$
	$(t_3 \vee a_0 \vee \bar{b}_0)$
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BCE very effective on circuits [JärvisaloBiereHeule'10]

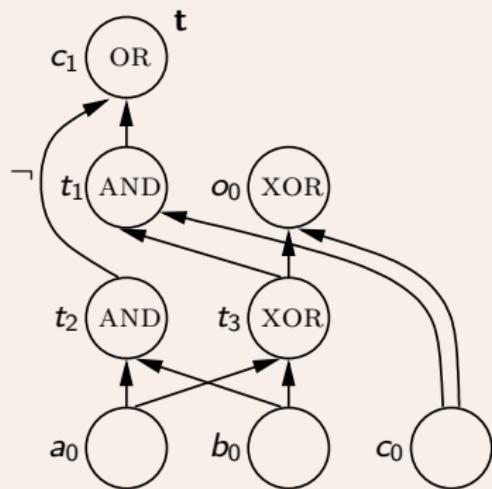
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Example of circuit simplification by BCE on Tseitin encoding

$$\begin{aligned} & (\bar{c}_1 \vee t_1 \vee \bar{t}_2) \\ & (\bar{c}_1 \vee \bar{t}_1) \\ & (\bar{c}_1 \vee t_2) \\ \\ & (\bar{o}_0 \vee t_3 \vee c_0) \\ & (\bar{o}_0 \vee \bar{t}_3 \vee \bar{c}_0) \\ & (o_0 \vee t_3 \vee \bar{c}_0) \\ & (o_0 \vee \bar{t}_3 \vee c_0) \end{aligned}$$

$$\begin{array}{l}
 (\bar{t}_1 \vee \bar{t}_3 \vee \bar{c}_0) \\
 (\bar{t}_1 \vee t_3) \\
 (\bar{t}_1 \vee c_0) \\
 \\ 
 (\bar{t}_2 \vee \bar{a}_0 \vee \bar{b}_0) \\
 (\bar{t}_2 \vee a_0) \\
 (\bar{t}_2 \vee b_0) \\
 \\ 
 (\bar{t}_3 \vee a_0 \vee b_0) \\
 (\bar{t}_3 \vee \bar{a}_0 \vee \bar{b}_0) \\
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 \end{array}$$



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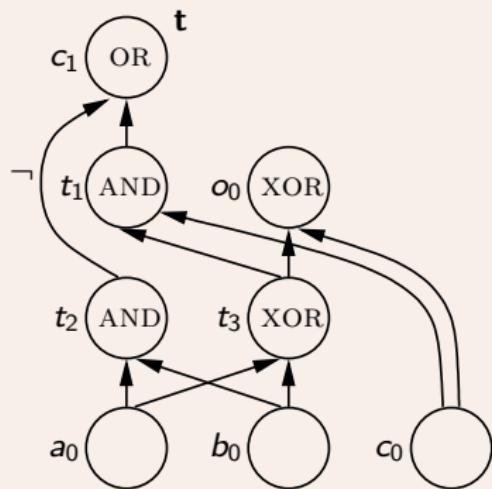
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# Hyper Binary Resolution

# Hyper Binary Resolution [Bacchus-AAAI02]

Definition (Hyper Binary Resolution Rule)

$$\frac{(I \vee I_1 \vee I_2 \vee \dots \vee I_n) \quad (\bar{I}_1 \vee I') \quad (\bar{I}_2 \vee I') \quad \dots \quad (\bar{I}_n \vee I')}{(I \vee I')}$$

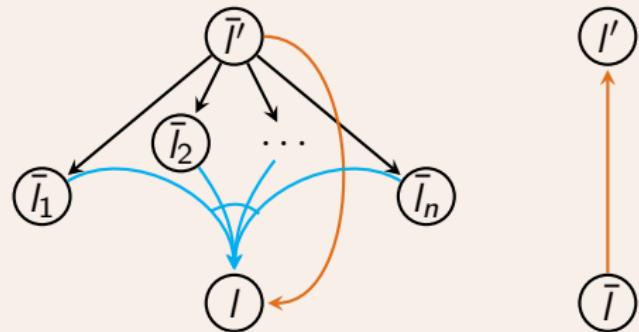
binary edge



hyper edge



hyper binary edge



Hyper Binary Resolution Rule:

- combines multiple resolution steps into one
- uses one n-ary clauses and multiple binary clauses
- special case hyper unary resolution where  $I = I'$

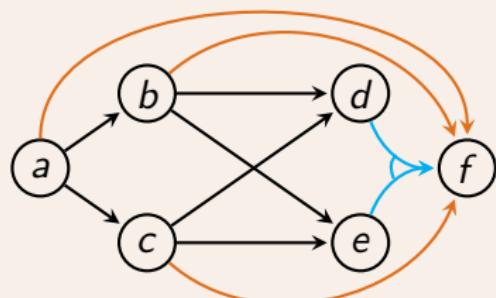
# Hyper Binary Resolution (HBR)

Definition (Hyper Binary Resolution)

Apply the hyper binary resolution rule until fixpoint

Example

Consider  $(\bar{a} \vee b) \wedge (\bar{a} \vee c) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge (\bar{c} \vee d) \wedge (\bar{c} \vee e) \wedge (\bar{d} \vee \bar{e} \vee f)$ .



hyper binary resolvents:  
 $(\bar{a} \vee f), (\bar{b} \vee f), (\bar{c} \vee f)$

HBR is confluent, i.e., has a unique fixpoint

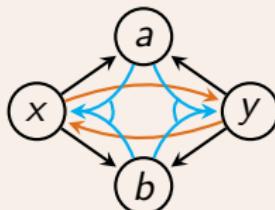
# Structural Hashing of AND-gates via HBR

gate $g$	$g \Rightarrow f(g_1, \dots, g_n)$ “positive”	$g \Leftarrow f(g_1, \dots, g_n)$ “negative”
$g := \text{OR}(g_1, \dots, g_n)$	$(\bar{g} \vee g_1 \vee \dots \vee g_n)$	$(g \vee \bar{g}_1), \dots, (g \vee \bar{g}_n)$
$g := \text{AND}(g_1, \dots, g_n)$	$(\bar{g} \vee g_1), \dots, (\bar{g} \vee g_n)$	$(g \vee \bar{g}_1 \vee \dots \vee \bar{g}_n)$
$g := \text{XOR}(g_1, g_2)$	$(\bar{g} \vee \bar{g}_1 \vee \bar{g}_2), (\bar{g} \vee g_1 \vee g_2)$	$(g \vee \bar{g}_1 \vee g_2), (g \vee g_1 \vee \bar{g}_2)$
$g := \text{ITE}(g_1, g_2, g_3)$	$(\bar{g} \vee \bar{g}_1 \vee g_2), (\bar{g} \vee g_1 \vee g_3)$	$(g \vee \bar{g}_1 \vee \bar{g}_2), (g \vee g_1 \vee \bar{g}_3)$

## Definition (Structural Hashing of AND-gates)

Given a Boolean circuit with two equivalent gates, merge the gates.

## Example



$$x = \text{AND}(a, b) : (\bar{x} \vee a) \wedge (\bar{x} \vee b) \wedge (x \vee \bar{a} \vee \bar{b})$$

$$y = \text{AND}(a, b) : (\bar{y} \vee a) \wedge (\bar{y} \vee b) \wedge (y \vee \bar{a} \vee \bar{b})$$

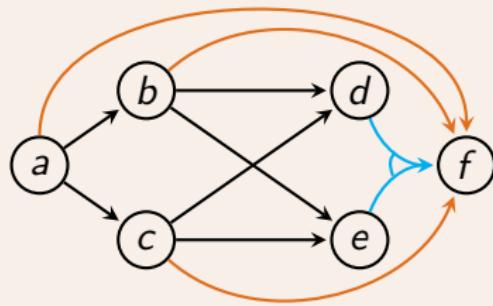
the two HBRs  $(\bar{x} \vee y)$  and  $(x \vee \bar{y})$  express that  $x = y$

# Non-transitive Hyper Binary Resolution (NHBR)

A problem with classic HBR is that it adds many **transitive** binary clauses

## Example

Consider  $(\bar{a} \vee b) \wedge (\bar{a} \vee c) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge (\bar{c} \vee d) \wedge (\bar{c} \vee e) \wedge (\bar{d} \vee \bar{e} \vee f)$ .



adding  $(\bar{b} \vee f)$  or  $(\bar{c} \vee f)$   
makes  $(\bar{a} \vee f)$  transitive

Solution [HeuleJärvilasloBiere 2013]

Add only non-transitive hyper binary resolvents

Can be implemented using an alternative unit propagation style

# Space Complexity of NHBR: Quadratic

Question regarding complexity [Biere 2009]

- Are there formulas where the transitively reduced hyper binary resolution closure is quadratic in size w.r.t. to the size of the original?
- where size = #clauses or size = #literals or size = #variables

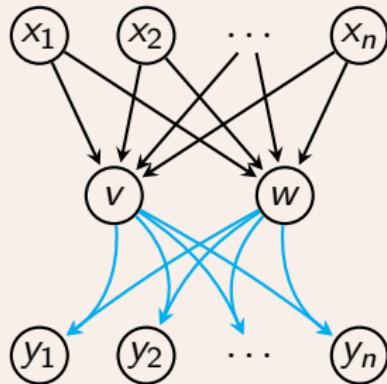
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Yes!

Consider the formula  $F_n = \bigwedge_{1 \leq i \leq n} ((\bar{x}_i \vee v) \wedge (\bar{x}_i \vee w) \wedge (\bar{v} \vee \bar{w} \vee y_i))$



#variables:  $2n + 2$   
#clauses:  $3n$   
#literals:  $7n$

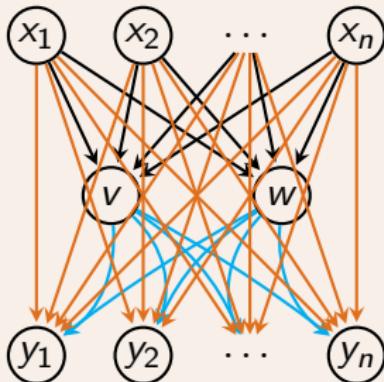
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#variables:  $2n + 2$

#clauses:  $3n$

#literals:  $7n$

$n^2$  hyper binary resolvents:  
 $(\bar{x}_i \vee y_j)$  for  $1 \leq i, j \leq n$



# Redundancy

Redundant clauses:

- Removal of  $C \in F$  preserves unsatisfiability of  $F$
- Assign all  $l \in C$  to false and check for a conflict in  $F \setminus \{C\}$

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Redundancy elimination during pre- and in-processing

- Distillation
- ReVivAI
- Unhiding

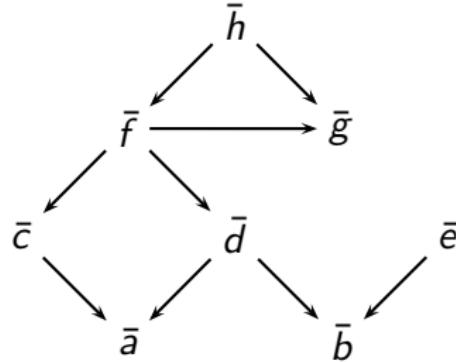
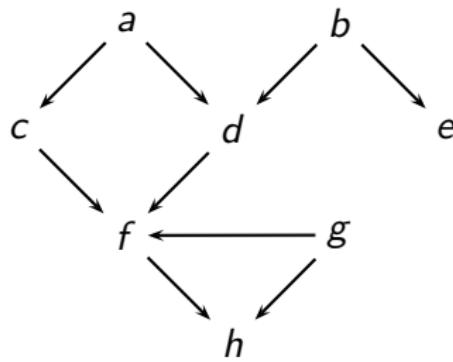
[JinSomenzi2005]

[PietteHamadiSaïs2008]

[HeuleJärvisaloBiere2011]

# Unhide: Binary implication graph (BIG)

unhide: use the binary clauses to detect redundant clauses and literals

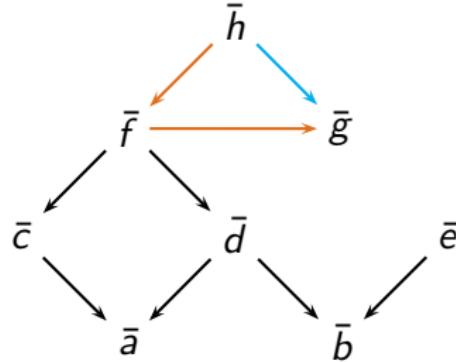
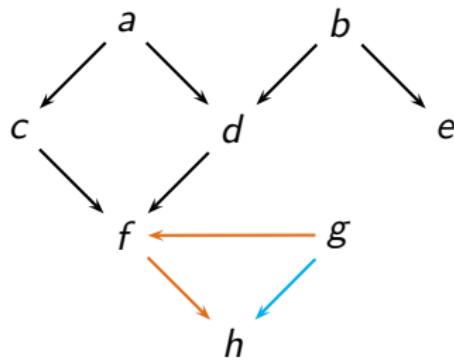


$$\begin{aligned}
 & (\bar{a} \vee c) \wedge (\bar{a} \vee d) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge \\
 & (\bar{c} \vee f) \wedge (\bar{d} \vee f) \wedge (\bar{g} \vee f) \wedge (\bar{f} \vee h) \wedge \\
 & (\bar{g} \vee h) \wedge \underbrace{(\bar{a} \vee \bar{e} \vee h) \wedge (\bar{b} \vee \bar{c} \vee h) \wedge (a \vee b \vee c \vee d \vee e \vee f \vee g \vee h)}_{\text{non binary clauses}}
 \end{aligned}$$

non binary clauses

# Unhide: Transitive reduction (TRD)

transitive reduction: remove shortcuts in the binary implication graph



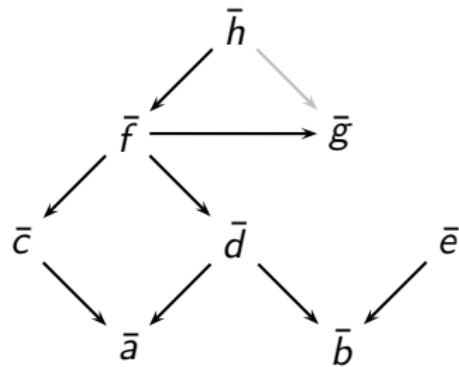
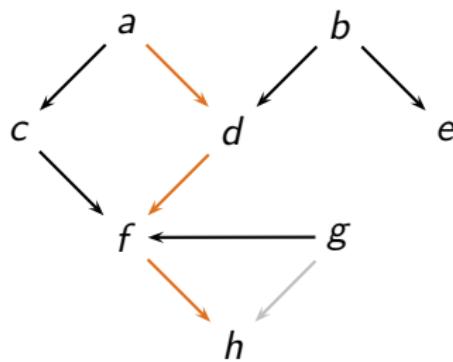
$$\begin{aligned}
 & (\bar{a} \vee c) \wedge (\bar{a} \vee d) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge \\
 & (\bar{c} \vee f) \wedge (\bar{d} \vee f) \wedge (\bar{g} \vee f) \wedge (\bar{f} \vee h) \wedge \\
 & (\bar{g} \vee h) \wedge (\bar{a} \vee \bar{e} \vee h) \wedge (\bar{b} \vee \bar{c} \vee h) \wedge (a \vee b \vee c \vee d \vee e \vee f \vee g \vee h)
 \end{aligned}$$

TRD

$g \rightarrow f \rightarrow h$

## Unhide: Hidden tautology elimination (HTE) (1)

HTE removes clauses that are subsumed by an implication in BIG



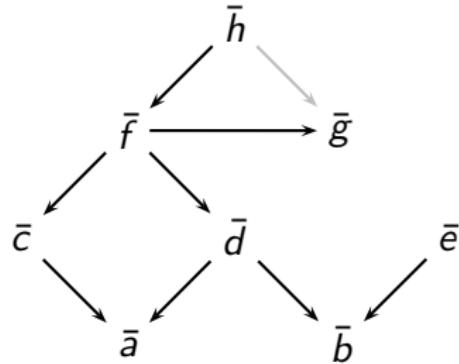
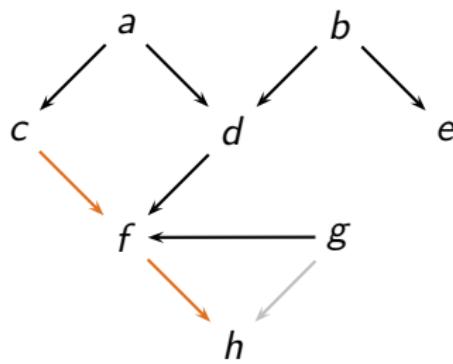
$$\begin{aligned}
 & (\bar{a} \vee c) \wedge (\bar{a} \vee d) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge \\
 & (\bar{c} \vee f) \wedge (\bar{d} \vee f) \wedge (\bar{g} \vee f) \wedge (\bar{f} \vee h) \wedge \\
 & (\textcolor{red}{\bar{a} \vee \bar{e} \vee \textcolor{brown}{h}}) \wedge (\bar{b} \vee \bar{c} \vee h) \wedge (a \vee b \vee c \vee d \vee e \vee f \vee g \vee h)
 \end{aligned}$$

HTE

$a \rightarrow d \rightarrow f \rightarrow h$

## Unhide: Hidden tautology elimination (HTE) (2)

HTE removes clauses that are subsumed by an implication in BIG

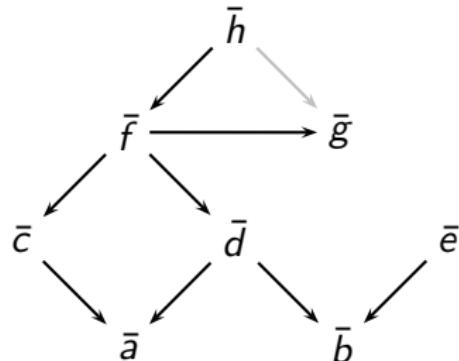
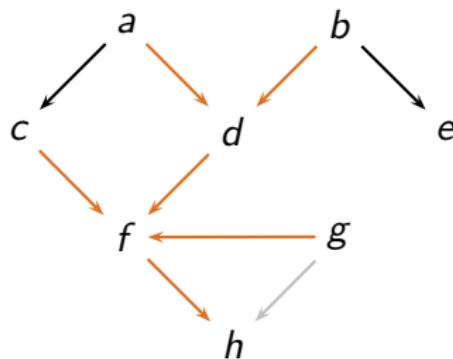


$$\begin{aligned}
 & (\bar{a} \vee c) \wedge (\bar{a} \vee d) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge \\
 & (\bar{c} \vee f) \wedge (\bar{d} \vee f) \wedge (\bar{g} \vee f) \wedge (\bar{f} \vee h) \wedge \\
 & \quad \cancel{(\bar{b} \vee \bar{c} \vee \bar{h})} \wedge (a \vee b \vee c \vee d \vee e \vee f \vee g \vee h)
 \end{aligned}$$

HTE  
 $c \rightarrow f \rightarrow h$

# Unhide: Hidden literal elimination (HLE)

HLE removes literal using the implication in BIG



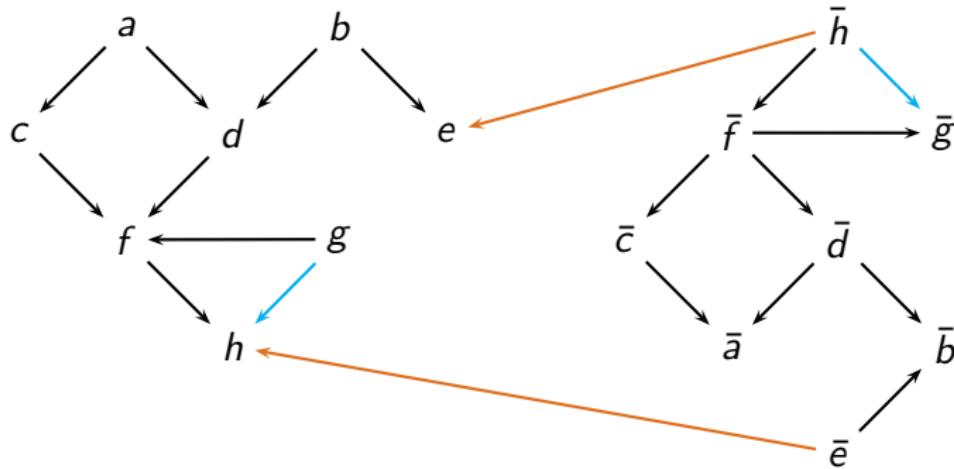
$$\begin{aligned}
 (\bar{a} \vee c) \wedge (\bar{a} \vee d) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge \\
 (\bar{c} \vee f) \wedge (\bar{d} \vee f) \wedge (\bar{g} \vee f) \wedge (\bar{f} \vee h) \wedge \\
 (\textcolor{red}{\cancel{a}} \vee \textcolor{red}{\cancel{b}} \vee \textcolor{red}{\cancel{c}} \vee \textcolor{red}{\cancel{d}} \vee \textcolor{red}{\cancel{e}} \vee \textcolor{red}{\cancel{f}} \vee \textcolor{red}{\cancel{g}} \vee \textcolor{red}{\cancel{h}})
 \end{aligned}$$

**HLE**  
 all but e imply h

also b implies e

## Unhide: TRD + HTE + HLE

unhide: redundancy elimination removes and adds arcs from  $\text{BIG}(F)$



$$\begin{aligned}
 (\bar{a} \vee c) \wedge (\bar{a} \vee d) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge \\
 (\bar{c} \vee f) \wedge (\bar{d} \vee f) \wedge (\bar{g} \vee f) \wedge (\bar{f} \vee h) \wedge (e \vee h)
 \end{aligned}$$

# Conclusions

Many pre- or in-processing techniques in SAT solvers:

- (Self-)Subsumption
- Variable Elimination
- Blocked Clause Elimination
- Hyper Binary Resolution
- Bounded Variable Addition
- Equivalent Literal Substitution
- Failed Literal Elimination
- Autarky Reasoning
- ...

# Preprocessing

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The University of Texas at Austin

July 5, 2013